

Practitioner's Docket No. 17616-832

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Marc J. Philippon

Serial No.: 09/624,689 Filed: July 24, 2000

For: METHOD AND APPARATUS FOR TREATING

OSTEOCHONDRAL PATHOLOGIES

**Commissioner for Patents** Washington, D.C. 20231

### REQUEST FOR CORRECTED FILING RECEIPT

- 1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
- 2. There is an error with respect to the following:

Error in

1. Residence of inventor

Correct data

- 1. Marc J. Philippon's residence should be "Boca Raton, Florida".
- 3. The Commissioner is hereby authorized to charge payment of \$25 for this correction, as well as to charge any deficiencies or credit any overpayment to Deposit Account No. 23-2415 (docket no. 17616-832)...

Respectfully submitted.

IOHN JARUCKNER, Reg. No. 35,816

X

# CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING** 

deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

9/29/2000

**FACSIMILE** 

transmitted by facsimile to the Patent and Trademark Office.

(Request for Corrected Filing Receipt-page 1 of 1)

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# THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Marc J. Philippon

Serial No.: 09/624,689 Filed: July 24, 2000

Group No.: Not Yet Assigned Examiner: Not Yet Assigned

For: Method and Apparatus for Treating Oscool Pathologies

Box Missing Parts Commissioner for Patents Washington, D.C. 20231 COMPLETHON OF FILING REQUIREMENTS

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed September 22, 2000.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed

# COMBINED DECLARATION AND PRINCIPAL POWER OF ATTORNEY FOR PATENT APPLICATION

II. Enclosed is the fully-executed Combined Declaration and Principal Power of Attorney for Patent Application.

#### ASSIGNMENT

III. The fully-executed Assignment along with PTO Form 1595 cover sheet is enclosed.

#### POWER OF ATTORNEY BY ASSIGNEE

IV. The fully-executed Power of Attorney By Assignee To Exclusion of Inventor Under 37 C.F.R. 3.71 With Revocation of Prior Powers is enclosed.

#### VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS

V. The fully-executed Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(F) and 1.27(C)) - Small Business Concern is enclosed.

VI.		COMPLETION FEES
1.	Fee for filing a utility application	\$345.00
2.	Fee for claims over 20	\$ 27.00
3.	Fee for Independent Claims Over 3	\$ 78.00
2.	Fee for Filing Assignment Recordation	\$ 40.00
3.	Surcharge Fees	\$ 65.00
	Total Completion Fees	\$555.00

VII. The total fee due is: Completion fees \$555.00

Total Fee Due \$555.00

#### **PAYMENT OF FEES**

VIII. Charge Account No. 23-2415 (17616-832) in the amount of \$555.00.

Please charge Account No. 23-2415 (17616-832) for any fees that may due by this paper.

John J. Bruckner, REG. NO. 35,816

650 Page Mill Road Palo Alto, California 94034 (650) 493-9300

(650) 493-9300 Date **9/29/00** 

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 -.

FIRST CLASS MAIL

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United States Patent and Trasemark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

 APPLICATION NUMBER
 FILING DATE
 GRP ART UNIT
 FIL FEE REC'D
 ATTY.DOCKET.NO
 DRAWINGS
 TOT CLAIMS
 IND CLAIMS

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 07/24/2000
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21971 WILSON SONSINI GOODRICH & ROSATI 650 PAGE MILL ROAD PALO ALTO, CA 943041050 FILING RECEIPT

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RESIDENCE SHOULD BE BOCA RATON FLOCION

Date Mailed: 09/22/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Marc J. Philippon, Residence Not Provided;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/21/2000

**Title** 

Method and apparatus for treating osteochondral pathologies

**Preliminary Class** 

128

Data entry by : ZIMMERMAN, SHAUNA

Team: OIPE

Date: 09/22/2000



# Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231